



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Haoren Zhuang et al.                      Art Unit: 2811  
Serial No.: 10/678,952                                      Examiner:  
Filed : October 2, 2003  
Title : METHOD FOR FORMING FERROCAPACITORS AND FERAM DEVICES

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached International Search Report dated December 22, 2004, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 4, 2005

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

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Attorney's Docket No.:14580-038001

communication from a foreign patent office in a counterpart foreign application.

German Patent Application No. 101 31 624 is filed with an abstract; no full translation is readily available. A concise explanation of all these documents can be found on the attached search report.

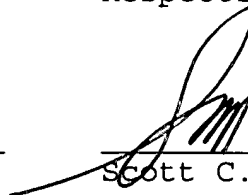
The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 4, 2005



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14580-038001	Application No. 10/678,952
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Haoren Zhuang et al.	
		Filing Date October 2, 2003	Group Art Unit 2811
(37 CFR §1.98(b))			

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0066914	06/2002	Imai, et al.			
	AB	2002/0109231	08/2002	Chu, et al.			
	AC	2002/0197744	12/2002	Lee			
	AD	6,228,707	05/2001	Lin			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	101 31 624	01/2003	Germany (w/abstract)				
	AM							
	AN							
	AO							
	AP							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	